

A satellite image of Earth, showing a large area of white clouds over a dark blue ocean. The clouds are dense and cover most of the frame. In the lower-left corner, a small portion of a landmass is visible, showing green vegetation and some brownish areas. The overall image has a grainy, high-resolution appearance typical of satellite photography.

# Comparison of the Effects of RAS vs. Kain-Fritsch Convective Schemes on Katrina Forecasts with GEOS-5

To be presented at the Workshop on High-Resolution Climate Modeling  
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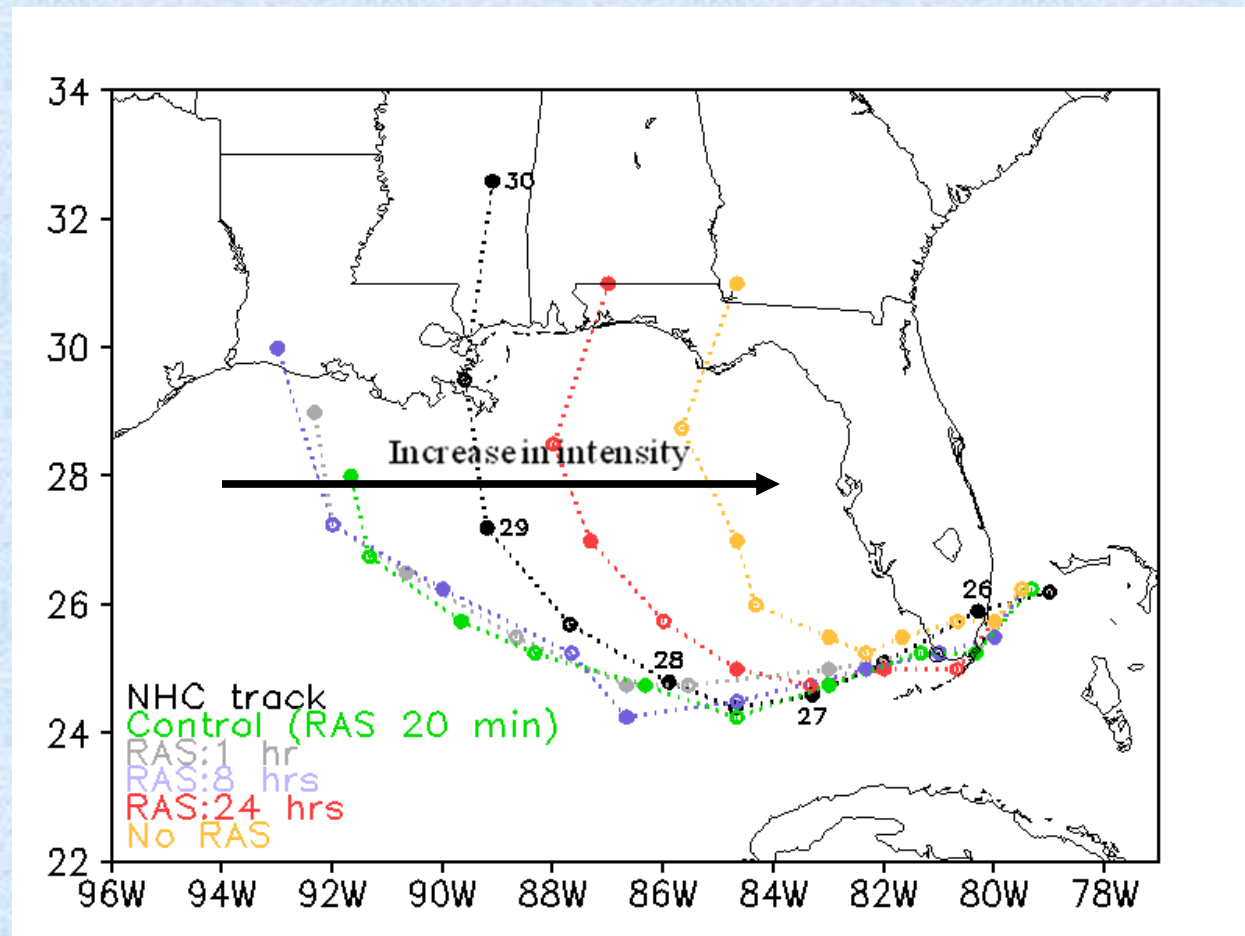
With gratitude also to our GSFC colleagues Julio Bacmeister, Max Suarez, and  
Andrea Molod, to our UAH colleague John Mecikalski, and to Jayanthi  
Srikishen, USRA/MSFC

# Background

- Although 0.25 deg resolution still does not well resolve an intense hurricane vortex (radius of max winds ~20km), based on experience at somewhat coarser resolution, one might expect to make “reasonable” forecasts with a global model at that resolution for both climate and weather purposes
- As global models close in on mesoscale resolution, it is necessary to consider the appropriateness of convective parameterization schemes
  - 0.25-degree resolution is not yet adequate to explicitly resolve cumulus convection
  - Schemes appropriate for coarser resolution may no longer be appropriate for “high” resolution
  - For example, the Arakawa-Schubert scheme (including the “relaxed” one, or RAS) becomes difficult to justify
    - Presumed statistical equilibrium may not exist
    - Experience with tropical storm simulation indicates under-prediction (basis for the present work)
  - The Kain-Fritsch (K-F) scheme was designed for models with ~25-50 km resolution, although some modifications for tropical convection were necessary for this work
    - Identification of “maritime tropical” is done via test of pressure difference between source level and LCL (50 hPa -> 100 hPa, linear interpolation between)
    - Reduce updraft radius, increase required W for trigger
- Cohen has implemented K-F in GEOS-5, targeting especially high-resolution simulations. A case study is shown here of the Katrina hurricane of 2005 at 0.25 degrees latitude resolution.

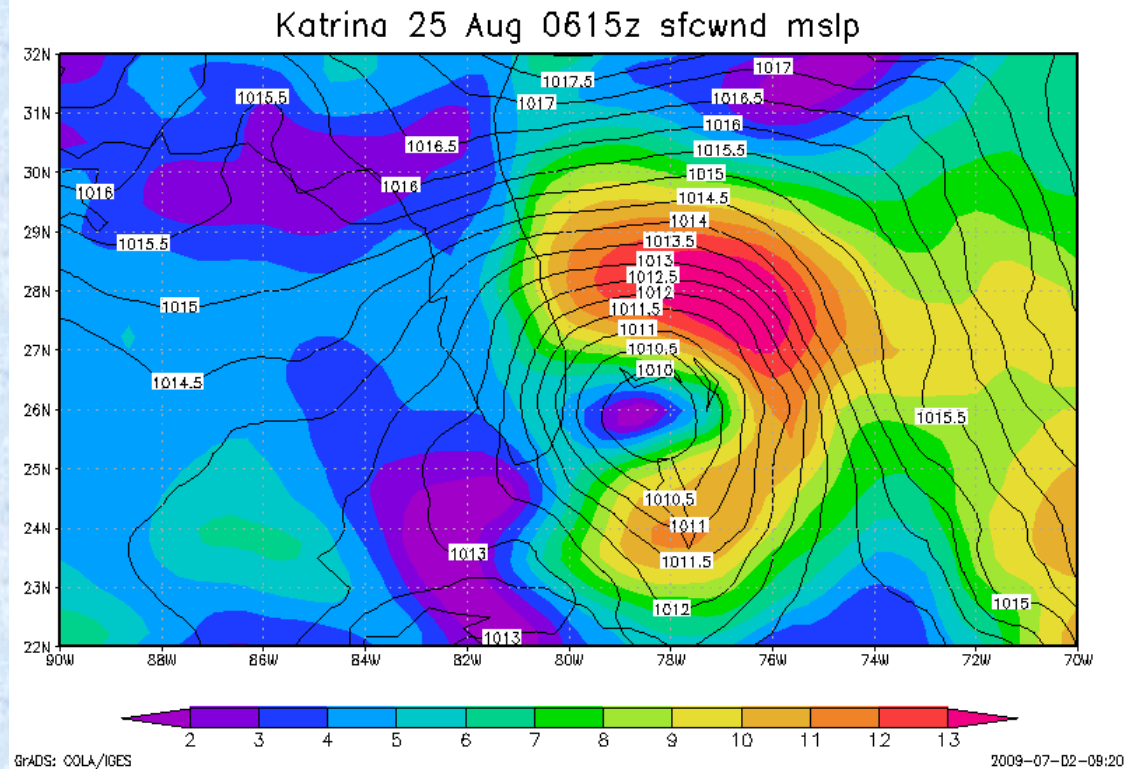


# Bacmeister et al. results that motivated this work

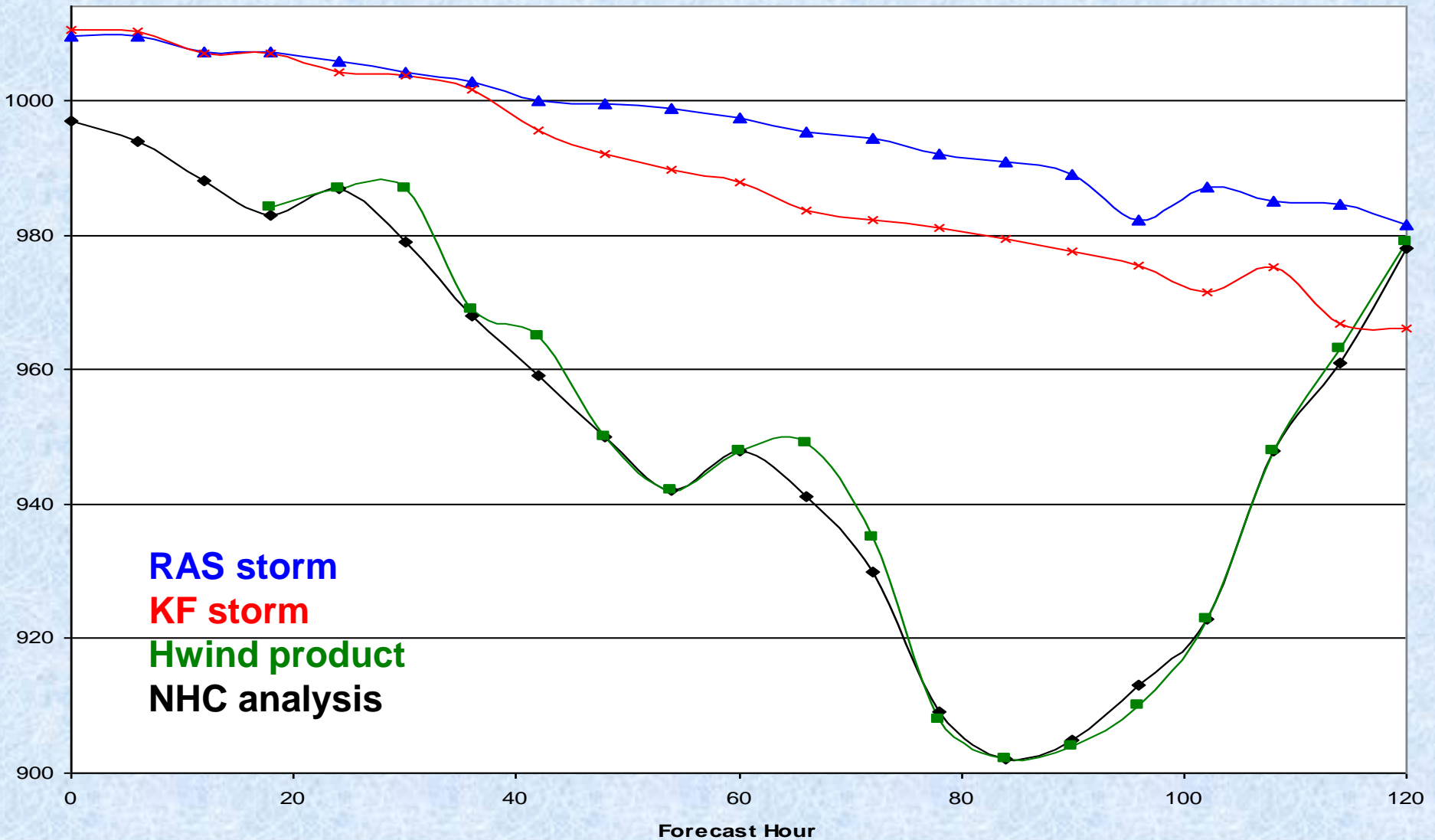


# Initial Conditions

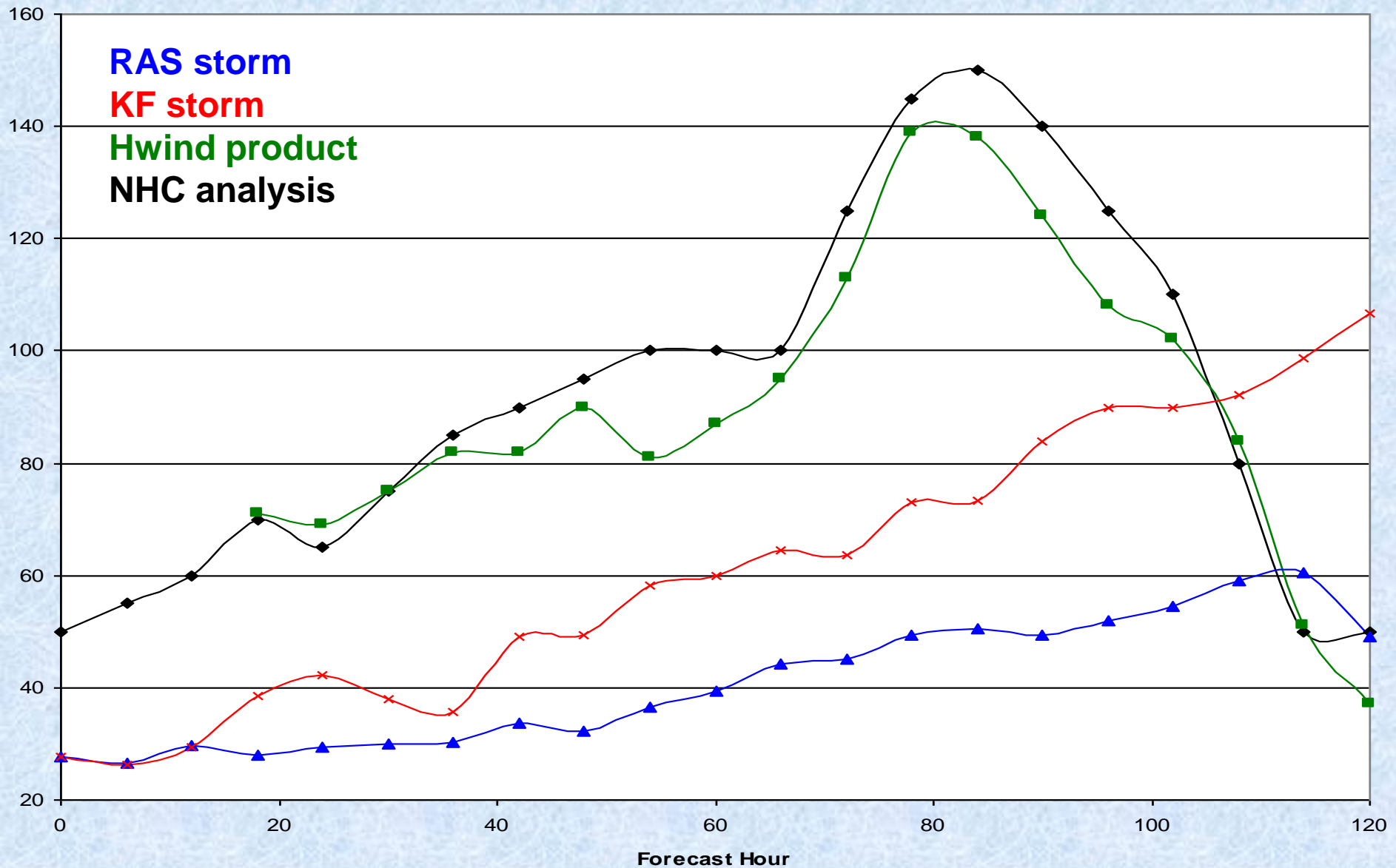
- Initial condition for all runs is the result of a 6-hr standard GEOS-5 (i.e., with RAS) 0.25-deg forecast from GFS initial condition.
  - Our initial condition is 25 Aug 06z.
  - Max wind 27 kts; min SLP 1010 mb (vs. Best Track 50 kts, 997 mb)
- Storm was offshore Florida (Atlantic side)
- Forecasts were made with 0.25-degree resolution with RAS and with Kain-Fritsch implemented, respectively
- It is noted (with apologies) that some results shown here are from a near-current version of GEOS-5, while others are from an older version (“patch 11”). While details of the fields may vary slightly, the results’ general descriptions and conclusions do not change.



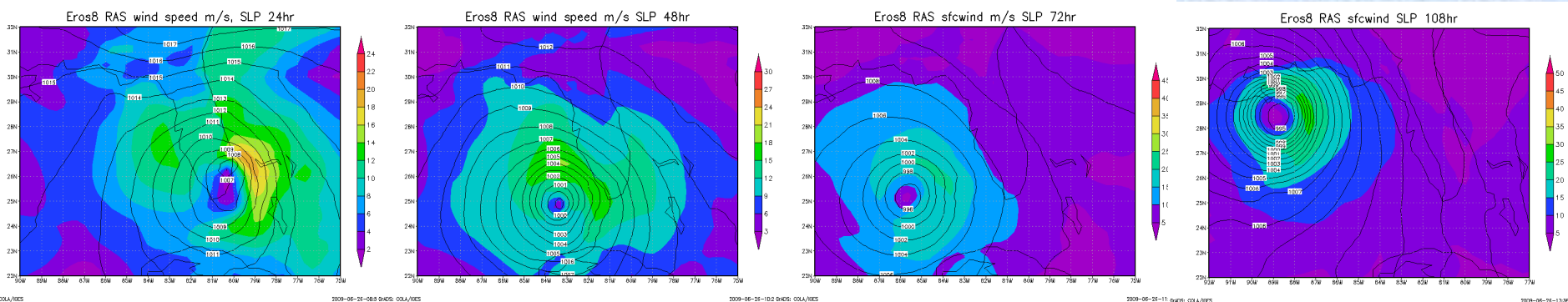
# Minimum SLP



# Max surface (10m) wind (knots)



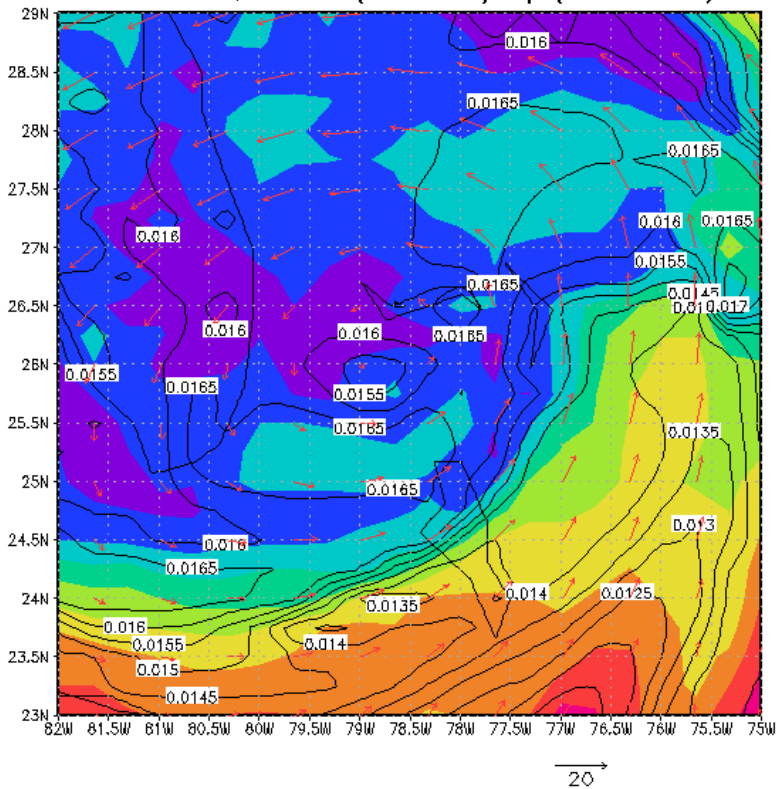
With Kain-Fritsch scheme:



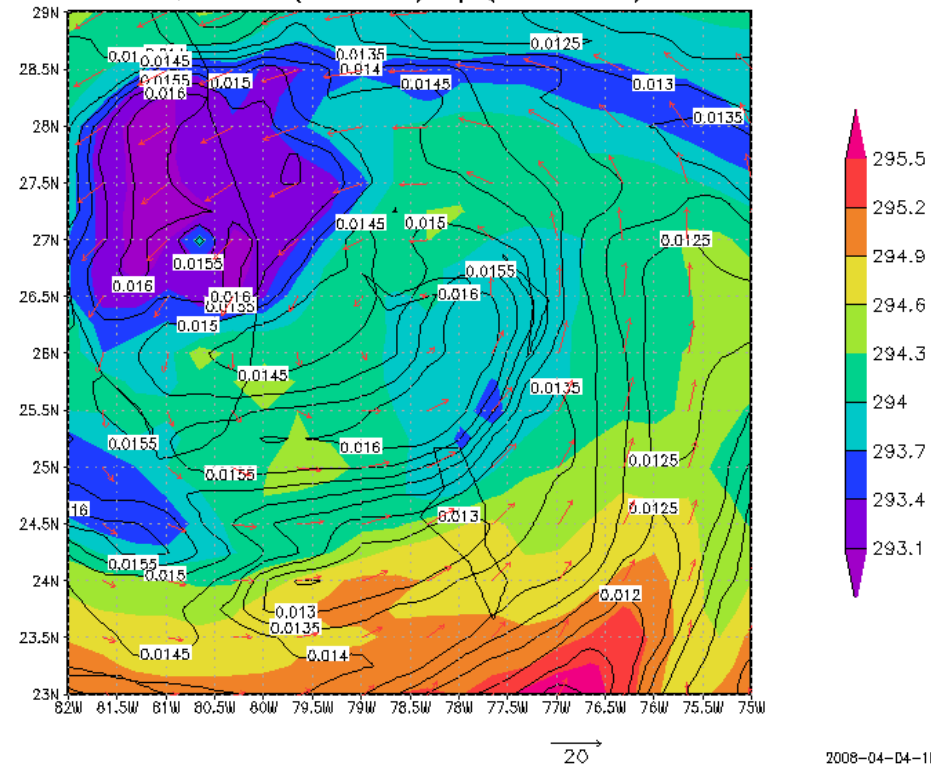


# 900 mb T, water vapor at 6 hours

KF case, 6hr T(shaded) qv(contours)



RA case, 6hr T(shaded) qv(contours) 900mb



2008-04-04-10:30

2008-04-04-10:4

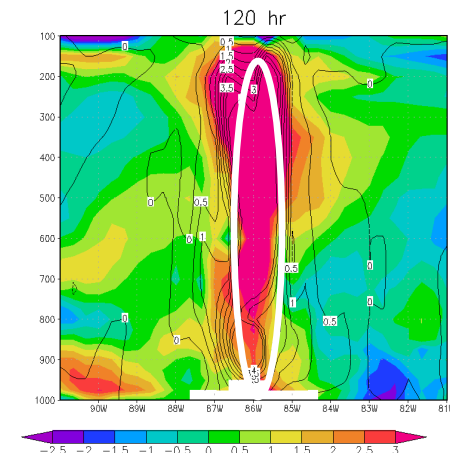
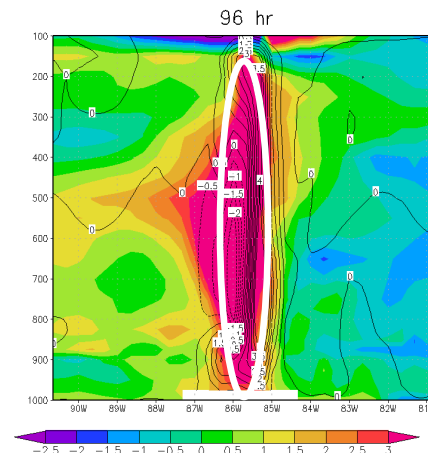
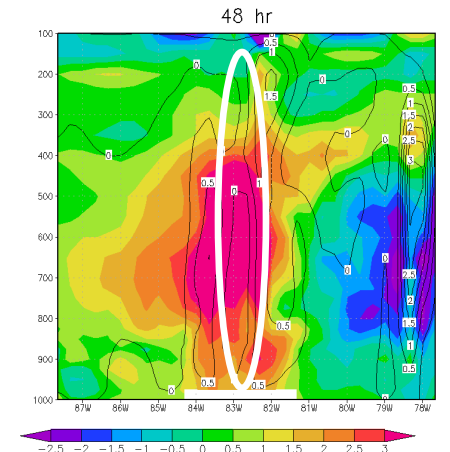
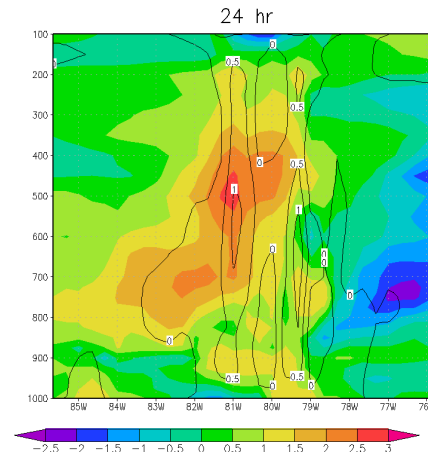
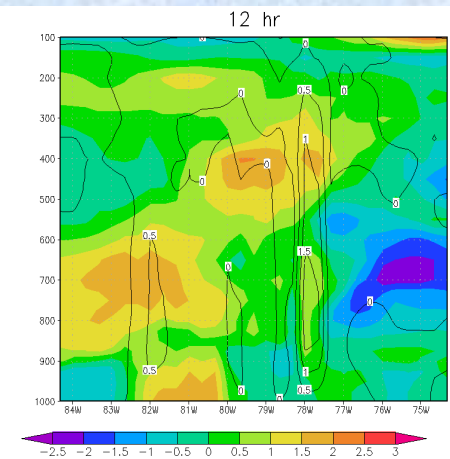
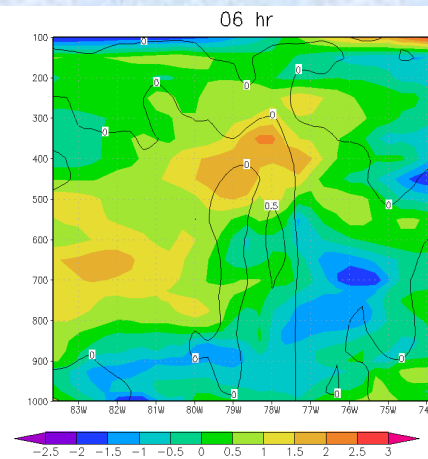


# KF

## W-E Cross-section

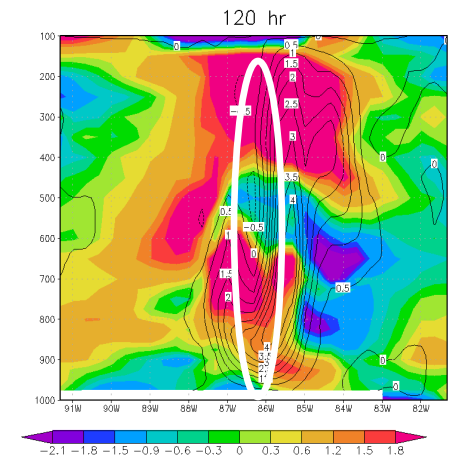
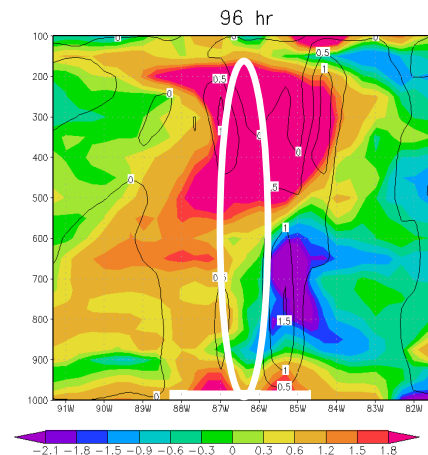
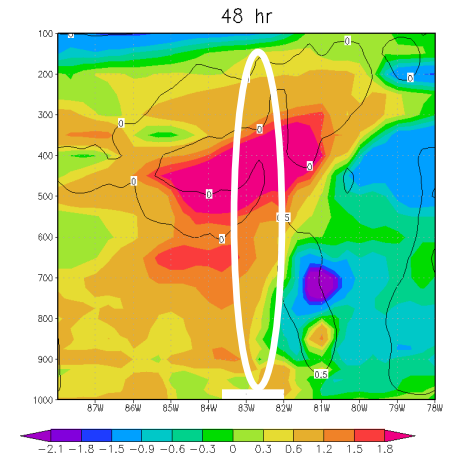
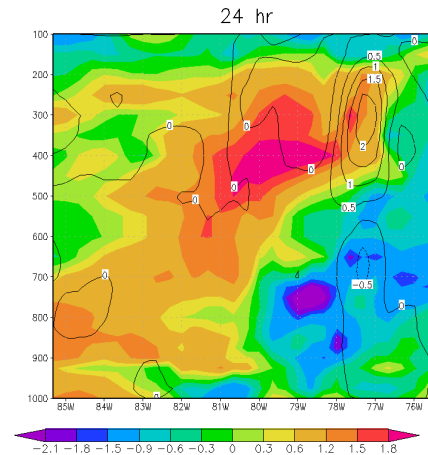
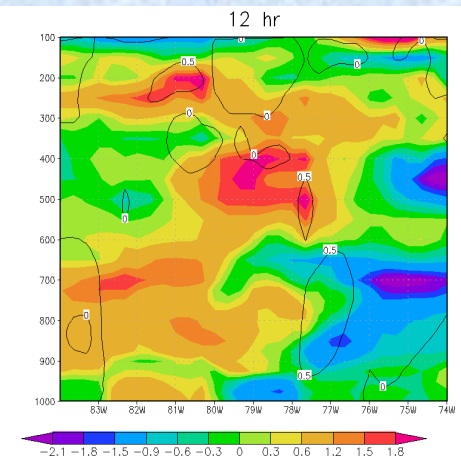
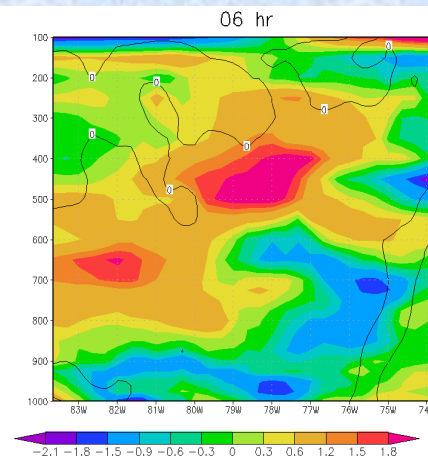
Temperature  
anomalies and  
vertical velocities  
through storm  
center.

Note color contour  
interval.



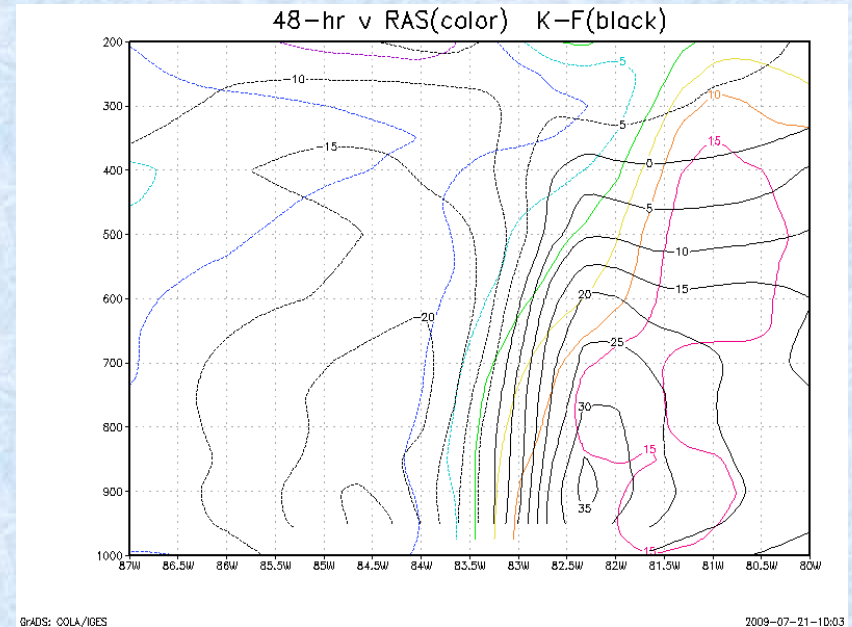
# RAS

Temperature  
anomalies and  
vertical  
velocities.

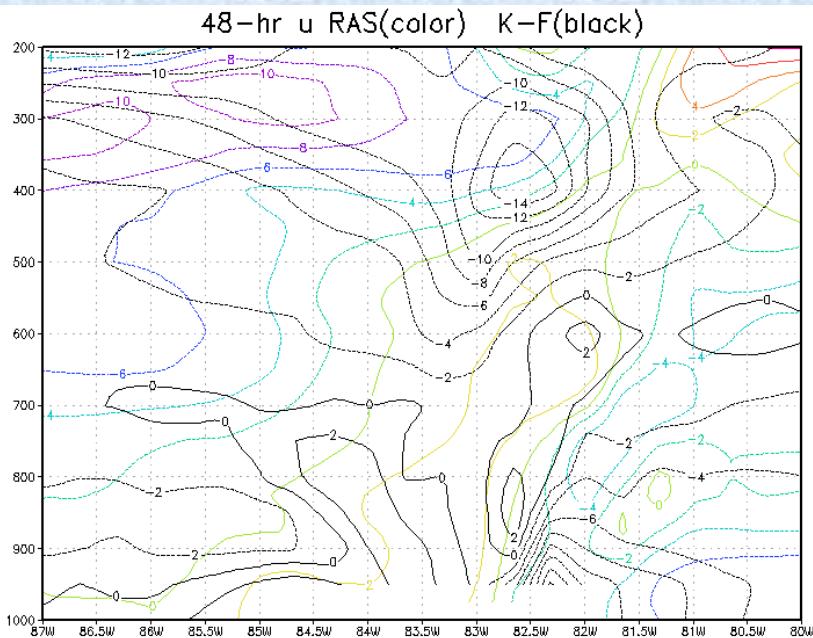


# Comparison of RAS, K-F wind fields at 48 hours

- Right: Tangential wind in W-E cross-section through storm center



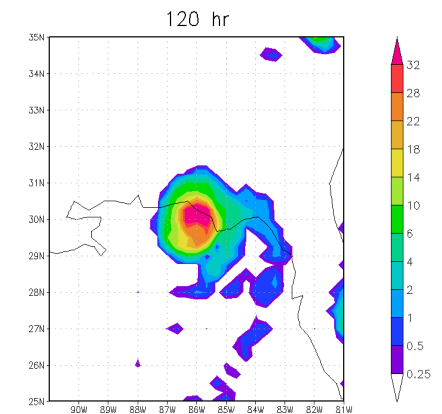
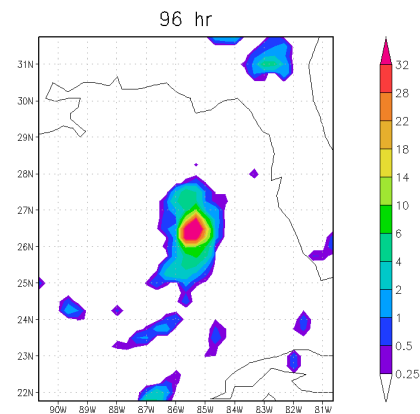
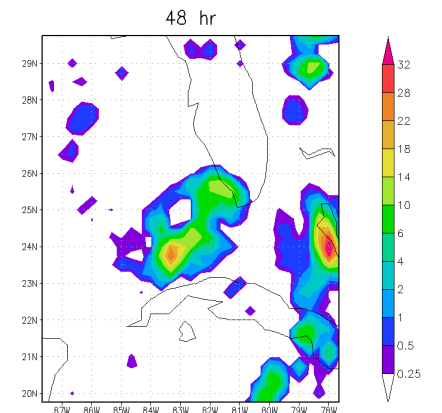
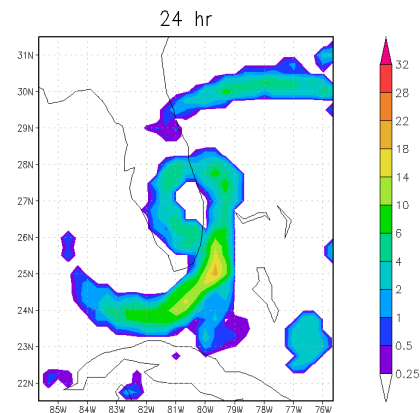
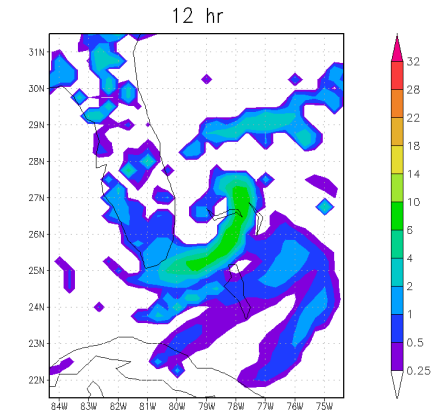
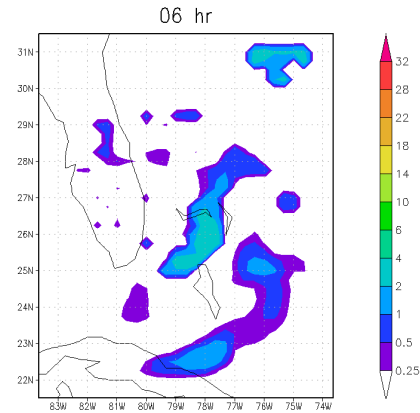
- Left: Radial wind (u) in W-E cross-section through storm center





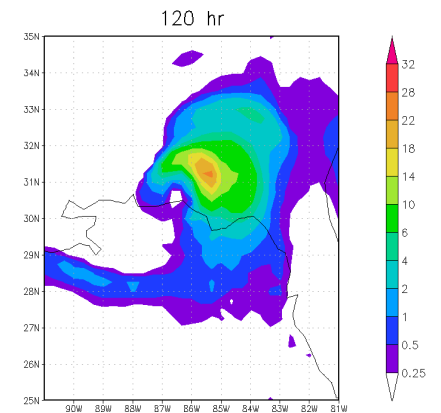
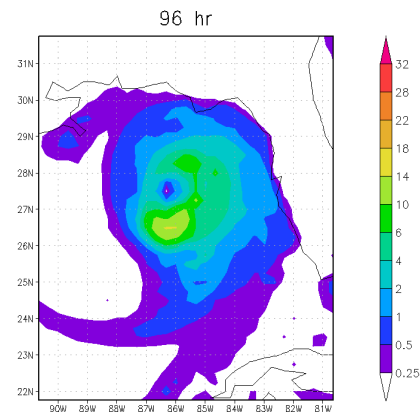
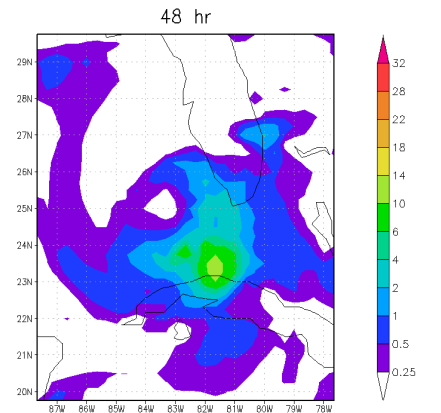
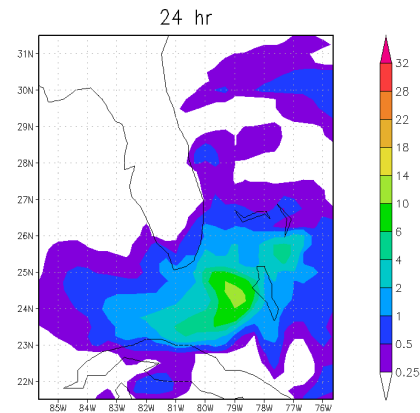
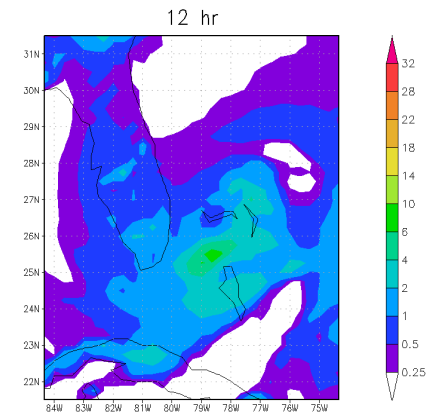
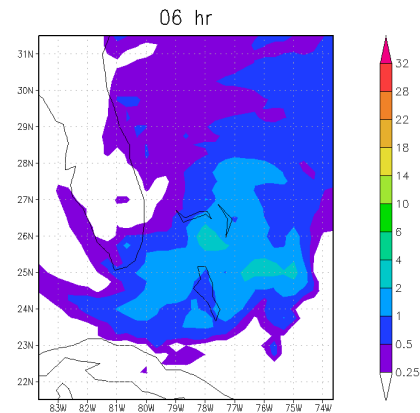
# KF

Precipitation  
6-hour averages,  
centered on the  
given forecast time,  
in mm/hour



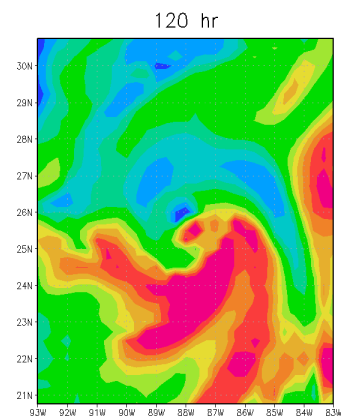
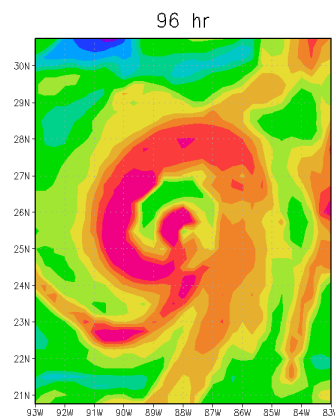
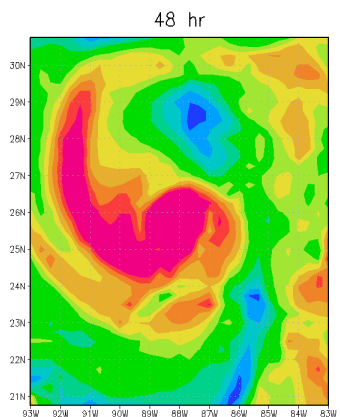
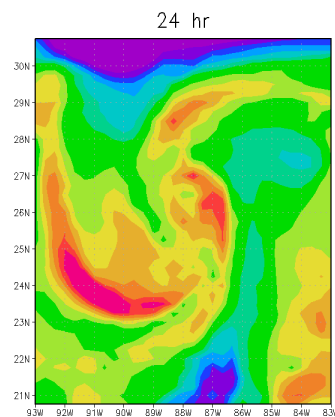
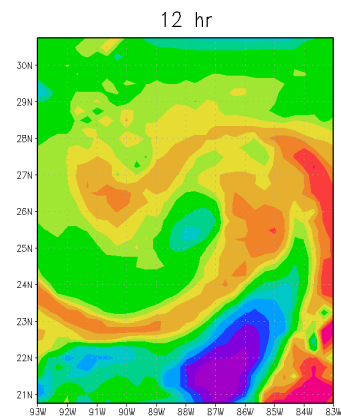
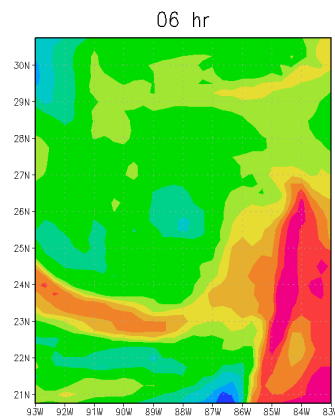
# RAS

Precipitation  
6-hour averages,  
centered on the  
given forecast time,  
in mm/hour



# KF

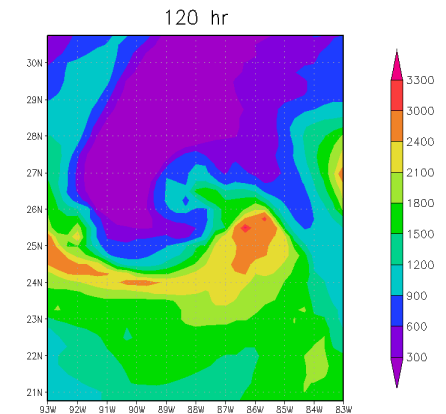
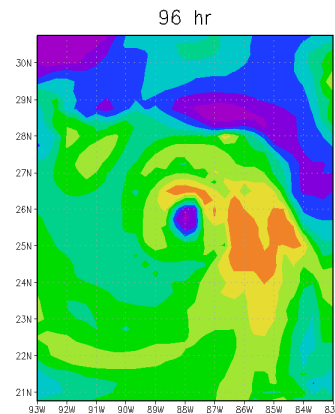
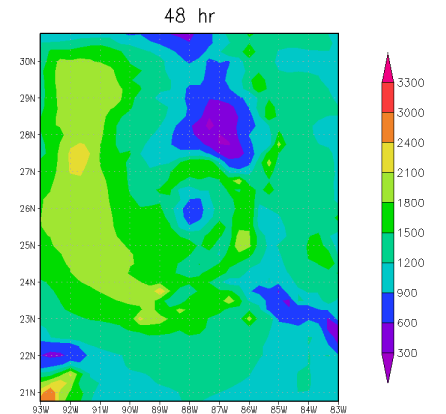
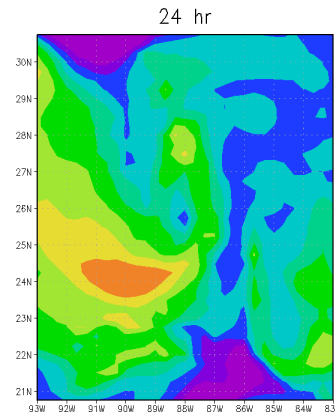
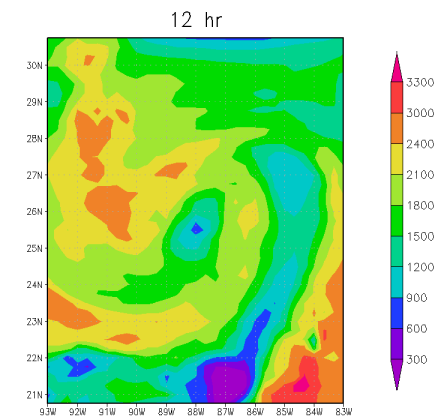
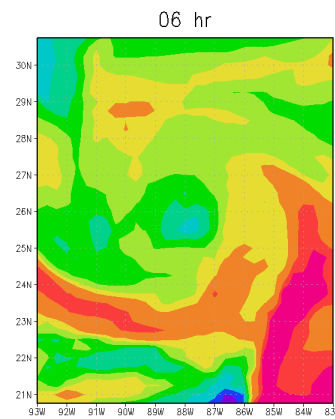
Surface-based CAPE.  
Units are Joules per  
kilogram. Note: Lat  
and lon labels on this  
and the next figure are  
incorrect. Figures are  
storm-centered, with  
fictitious lat & lon.





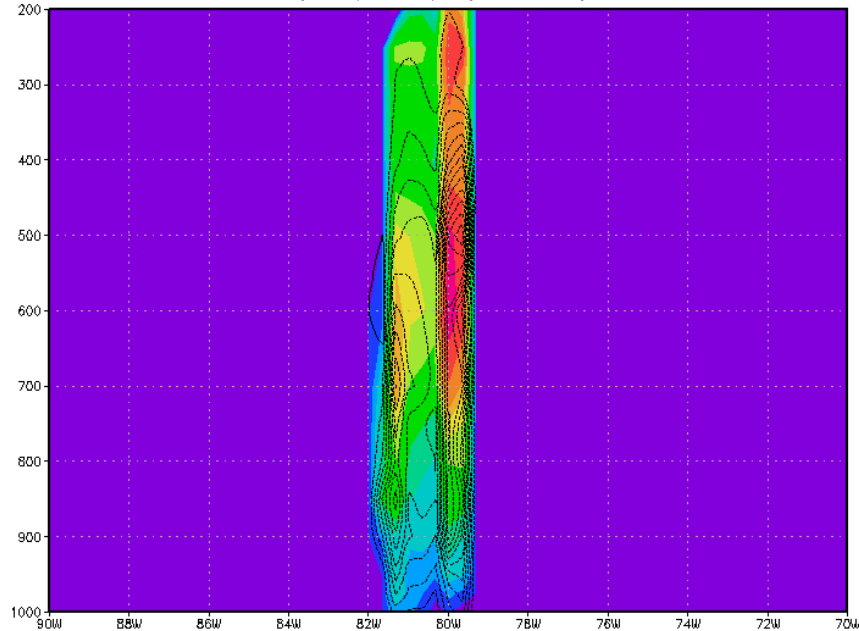
# RAS

Surface-based  
CAPE. Units are  
Joules per  
kilogram.



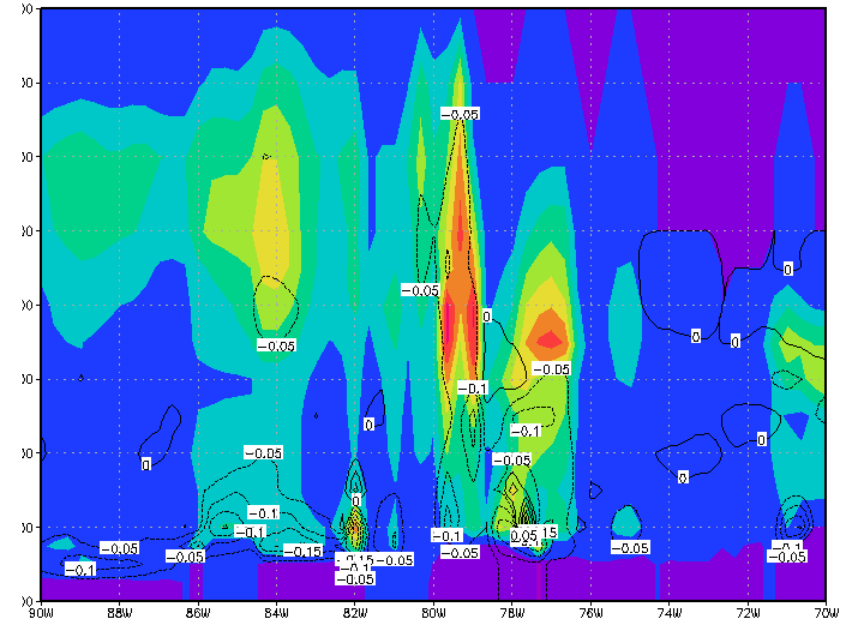
# Potential temperature and water vapor tendencies due to moist processes at 24 hours

KF 24h theta-tend/hr(color) qv-tend(black;  $-0.65e-6$  min)



GrADS: COLA/IGES  
-0.2 0 0.2 0.5 1 1.5 2.5 3 3.5 4 5 6  
2009-07-31-13:52

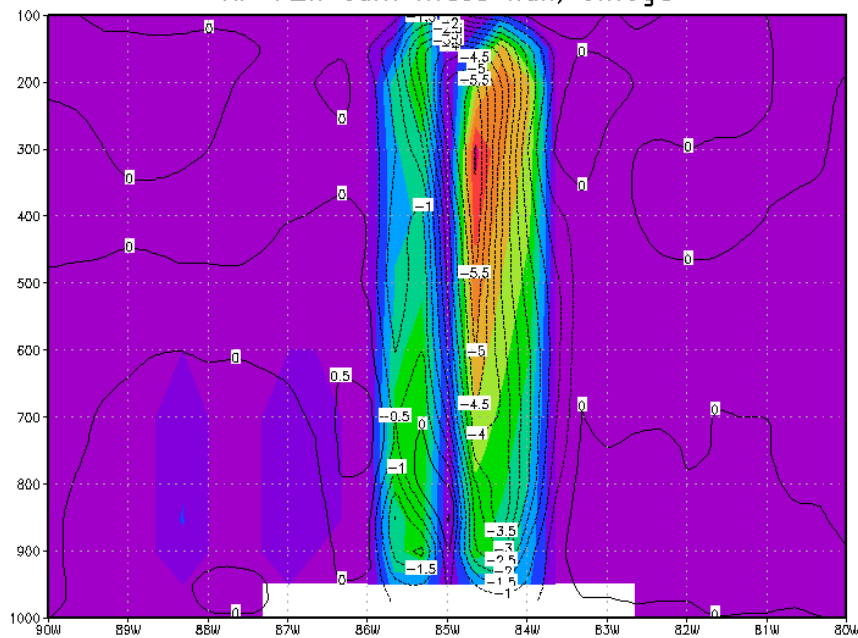
RAS 24h theta-tend/hr(color) qv-tend\*1e6(black)



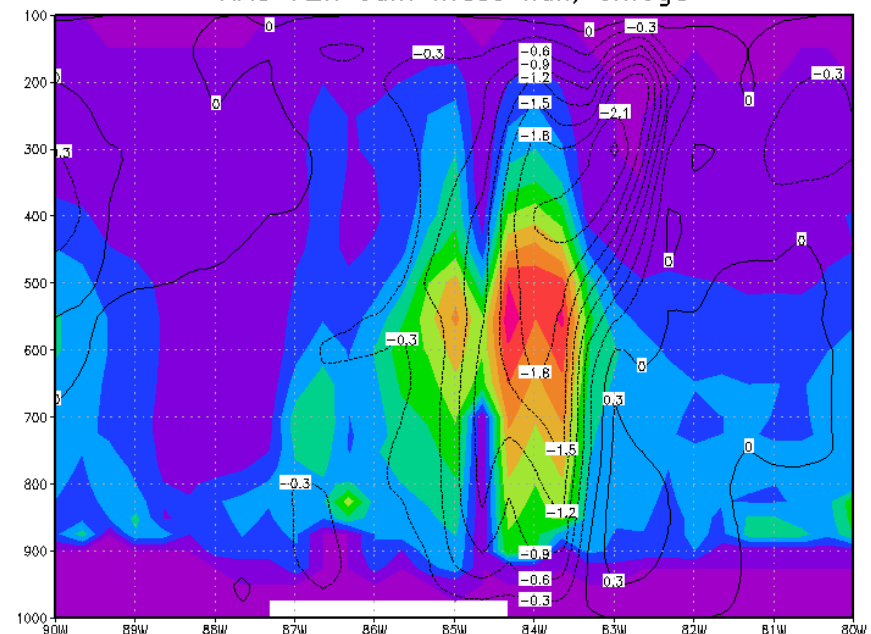
-0.2 0 0.2 0.4 0.6 0.8 1 1.2 1.4  
2009-07-31-10:55

# Cumulus mass flux, omega at 72 hours

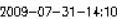
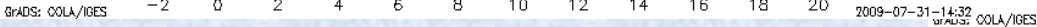
KF 72h cum mass flux; omega



RAS 72h cum mass flux; omega



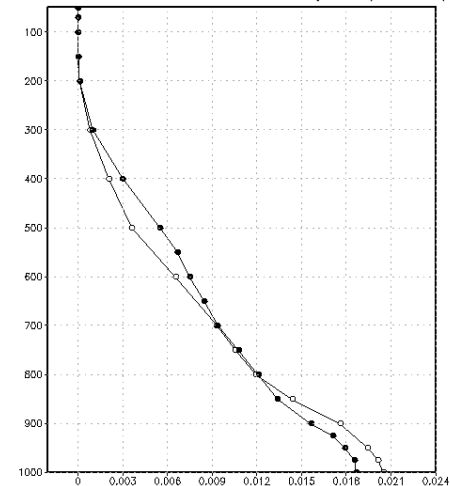




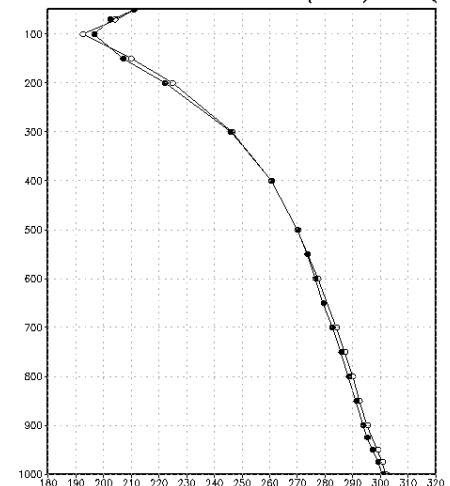
# Cross-section mean temperature, water vapor profiles at 72 hours

- RAS: Drying in lower layers, moistening above. Slight cooling below, lowering the lapse rate (i.e. raising the static stability) in the lower/middle troposphere.

Qv-mean in x-sect 72hr RAS(solid) K-F(open)



T-mean in x-sect 72hr RAS(solid) K-F(open)



# Conclusions

- Global forecasts were made with the 0.25-degree latitude version of GEOS-5, with the RAS scheme and with a modified Kain-Fritsch scheme. Examination was made of the Katrina (2005) hurricane simulation.
- Replacement of the RAS convective scheme with the K-F scheme results in a much stronger and compact Katrina, closer to reality by those measures.
  - Still, the result is not as vigorous as reality. In terms of wind maximum, the gap was closed by ~50%.
- The Kain-Fritsch scheme permits development of an effective secondary circulation, resulting in a well-developed warm-core storm.
  - The structures of the Kain-Fritsch  $q$  and  $T$  tendencies are tall and largely confined to the vortex region, the latter point of which is probably due to the use of a trigger function which is dependent in part on the grid-scale convergence below the LCL.
- The suppressed storm development in the RAS case seems to be due to the RAS scheme drying out the boundary layer and lower free troposphere, thus hampering the grid-scale secondary circulation and attending cyclone development.
  - The RAS case did not develop a full warm core until near landfall.
  - The RAS convective tendencies were not well co-located with the inner vortex region, and tend to be unorganized (both vertically and horizontally) throughout the storm.
- Not shown here: The K-F scheme also resulted in a more vigorous storm than when GEOS-5 is run with no convective parameterization (although the latter case was much stronger than the RAS case).
- Also not shown: An experiment in which the RAS firing level was moved up by 3 model levels resulted in a stronger, warm-core storm, though still not nearly as strong as the K-F case.
- Effects on storm track were noticed, but not studied.
- Further simulations of other tropical cyclones needed before general conclusions can be made
- Experiments with other convective schemes (e.g. Emanuel) would be desirable.